

Ap 58140

Practitioner's Docket No. 003252-053291

**PATENT**

For: U.S. and Foreign Rights  
By: Multiple Inventors

**ASSIGNMENT OF INVENTION CONTAINED IN PCT APPLICATION  
(MULTIPLE INVENTORS)**

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

**ASSIGNORS (inventors):**

Name : SMART, Eric J.  
Address: 1495 Sellers Mill Road  
Versailles, Kentucky 40383  
US  
Nationality: US

hereby sells, assigns and transfers to

**ASSIGNEE:**

Name: UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION  
Address: University of Kentucky  
A144 ASTeCC Building  
Lexington, KY 40506-0286  
US  
Nationality: US

and the successors, assigns and legal representatives of the ASSIGNEE the entire right, title and interest and in all foreign countries, including all rights to claim priority in and to any and all improvements which are disclosed in the invention entitled METHODS AND COMPOSITIONS FOR INHIBITING CHOLESTEROL UPTAKE and which is found in (37 C.F.R. Section 3.21) PCT application Serial No. PCT/US2004/003020 International Filing Date 03 February 2004 and any legal equivalent thereof in any designated country, including the right to claim priority and, in and to, all Letters Patent

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to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to letters patent any re-issue or re-examination thereof

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN TESTIMONY WHEREFORE, I have hereunto set hand and seal this  
day of June 11, 2004.

Eric J. Smart

Typed/Printed Name of Inventor

  
Signature of Inventor

## PATENT ASSIGNMENT

Electronic Version v1.1  
Stylesheet Version v1.1

07/07/2004  
500002133

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
Eric J. Smart	06/11/2004
RECEIVING PARTY DATA	
Name:	University of Kentucky Research Foundation
Street Address:	University of Kentucky
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City:	Lexington
State/Country:	KENTUCKY
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PROPERTY NUMBERS Total: 1	
Property Type	Number
PCT Number:	US0403020
CORRESPONDENCE DATA	
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NAME OF SUBMITTER:	Linda M. Ginsberg
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APPROPRIATE 10 APR 2006

Practitioner's Docket No. 003252-053291

PATENT

For: U.S. and Foreign Rights  
By: Multiple Inventors

**ASSIGNMENT OF INVENTION CONTAINED IN PCT APPLICATION  
(MULTIPLE INVENTORS)**

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

**ASSIGNORS (inventors):**

Name : FARBER, Steven A.  
Address: 222 Gravel Bend Road  
Cherry Hill, New Jersey 08034  
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Nationality: US

hereby sells, assigns and transfers to

**ASSIGNEE:**

Name: THOMAS JEFFERSON UNIVERSITY  
Address: 1020 Walnut Street  
Philadelphia, Pennsylvania 19107  
US  
Nationality: US

and the successors, assigns and legal representatives of the ASSIGNEE the entire right, title and interest and in all foreign countries, including all rights to claim priority in and to any and all improvements which are disclosed in the invention entitled METHODS AND COMPOSITIONS FOR INHIBITING CHOLESTEROL UPTAKE and which is

~~found in (37 C.F.R. Section 3.21) PCT application Serial No. PCT/US2004/003029~~

International Filing Date 03 February 2004 and any legal equivalent thereof in any designated country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division,

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Practitioner's Docket No. 003252-053291-PCT  
Page 2 of 2

renewal, or substitute thereof, and as to letters patent any re-issue or re-examination thereof

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN TESTIMONY WHEREFORE, I have hereunto set hand and seal this  
day of June 9, 2004.

Steven A. Farber

Typed/Printed Name of Inventor

Signature of Inventor

## PATENT ASSIGNMENT

APR 20 2004 19 APR 2004

Electronic Version v1.1

Stylesheet Version v1.1

06/25/2004  
500001601

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
Steven A Farber	06/09/2004
RECEIVING PARTY DATA	
Name:	Thomas Jefferson University
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PROPERTY NUMBERS Total: 1	
Property Type	Number
PCT Number:	US0403020
CORRESPONDENCE DATA	
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Total Attachments: 2 source=DOC000#page1.tif source=DOC000#page2.tif	

CH \$40.00 US0403020



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AP20 REC'D CIP10 19 APR 2006  
CORRECTED  
NOTICE

UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND  
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

MARCH 11, 2005

PTAS

DAVID S. RESNICK  
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RECORDATION DATE: 06/25/2004

REEL/FRAME: 014777/0444  
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

FARBER, STEVEN A

DOC DATE: 06/09/2004

ASSIGNEE:

THOMAS JEFFERSON UNIVERSITY  
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SERIAL NUMBER:

FILING DATE: 02/03/2004

PATENT NUMBER:

ISSUE DATE:

PCT NUMBER: US0403020

TITLE: METHODS AND COMPOSITIONS FOR INHIBITING CHOLESTEROL UPTAKE

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P20 Rec'd PTO 13 APR 2006

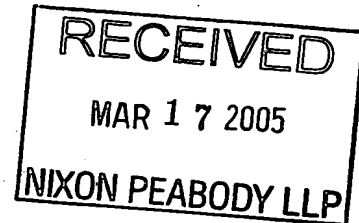
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## UNITED STATES PATENT AND TRADEMARK OFFICE

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MARCH 11, 2005

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DAVID S. RESNICK  
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BOSTON, MA 02110UNITED STATES PATENT AND TRADEMARK OFFICE  
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RECORDATION DATE: 07/07/2004

REEL/FRAME: 014821/0092  
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

## ASSIGNOR:

SMART, ERIC J.

DOC DATE: 06/11/2004

## ASSIGNEE:

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SERIAL NUMBER:

FILING DATE: 02/03/2004

PATENT NUMBER:

ISSUE DATE:

PCI NUMBER: US0403020

TITLE: METHODS AND COMPOSITIONS FOR INHIBITING CHOLESTEROL UPTAKE

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